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FULBRIGHT & JAWORSKI, L.L.P.
PRIYA D. SUBRAMONY
600 CONGRESS AVENUE, SUITE 2400
AUSTIN, TX 78701



102138541A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/24/2002

REEL/FRAME: 013024/0252
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SPORN, MICHAEL B.

DOC. DATE: 03/25/2002

ASSIGNEE:

TRUSTEES OF DARMOUTH COLLEGE, THE
11 ROPE FERRY ROAD #6210
HANOVER, NEW HAMPSHIRE 03755-1404

SERIAL NUMBER: 09998009
PATENT NUMBER:

FILING DATE: 11/28/2001
ISSUE DATE:

VIOLET MCCOY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RECEIVED	
Date(s) Docketed:	<u>Assignment</u>
<u>recorded</u>	
SEP 06 2002	
Client:	<u>UTSC: 65245</u>
Attorney(s):	<u>DLP/SLH/PDS</u>
Initials:	<u>SS</u>



06-28-2002



102138541

FORM PTO-1595
(Rev. 6-93)

HEET

U.S. Department of Commerce

OMB No. 0651-0011 (exp. 4/94)

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Pat nt and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

Michael B. Sporn

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
☐ Security Agreement
☐ Other

- ☐ Merger
☐ Change of Name

Execution Date: 3/25/02

2. Name and address of receiving party(ies):

Name: The Trustees of Dartmouth College

Street Address: 11 Rope Ferry Road #6210

City: Hanover State: NH Zip: 03755-1404

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

09/998,009

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Priya D. Subramony
FULBRIGHT & JAWORSKI, L.L.P.
600 Congress Avenue, Suite 2400

City: Austin State: Texas Zip: 78701

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41) \$ 40.00

- ☒ Enclosed
☒ Authorized to be charged to deposit account
if check insufficient or inadvertently omitted

8. Deposit account number: 50-1212/10109288/SLH
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Priya D. Subramony

Name of Person Signing, Reg. No. 50,939

Signature

06/18/2002

Date

Total number of pages including cover sheet, attachments and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignment, Washington, D.C. 20231

CERTIFICATE OF MAILING
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Box Assignment, Washington, DC 20231, on the date below:

June 18, 2002
Date

Priya D. Subramony

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AUGUST 27, 2002

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FULBRIGHT & JAWORSKI, L.L.P.
PRIYA D. SUBRAMONY
600 CONGRESS AVENUE, SUITE 2400
AUSTIN, TX 78701



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RECORDATION DATE: 06/24/2002

REEL/FRAME: 013024/0604
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
KONOPLEVA, MARINA

DOC DATE: 02/18/2002

ASSIGNOR:
ANDREEFF, MICHAEL

DOC DATE: 02/18/2002

ASSIGNEE:
BOARD OF REGENTS, THE UNIVERSITY
OF TEXAS SYSTEM
201 WEST 7TH STREET
AUSTIN, TEXAS 78701

NOV 20 2002

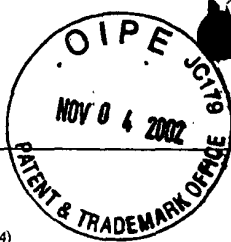
TECH CENTER 1600/2000

SERIAL NUMBER: 09998009
PATENT NUMBER:

FILING DATE: 11/28/2001
ISSUE DATE:

LAZENA MARTIN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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06-28-2002



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FORM PTO-1595
(Rev. 6-93)

U.S. Department of Commerce

OMB No. 0651-0011 (exp. 4/94)

PATENTS ONLY

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

Marina Konopleva and Michael Andreeff

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Date: 2/18/02, 2/18/02

2. Name and address of receiving party(ies):

Name: Board of Regents, The University of Texas System

Street Address: 201 West 7th Street

City: Austin State: Texas Zip: 78701

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is:

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09/998,009

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

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5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Priya D. Subramony
FULBRIGHT & JAWORSKI, L.L.P.
600 Congress Avenue, Suite 2400

City: Austin State: Texas Zip: 78701

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41) \$ 40.00



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Priya D. Subramony

06/18/2002

Name of Person Signing, Reg. No. 50,939

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June 18, 2002
Date

Priya D. Subramony

16/27/2002 TDIAZI 00000065 09998009

11 FC:581

40.00 CP

25114988.1

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM (the "Assignee"), having a place of business at 201 West 7th Street, Austin, Texas 78701, their entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/998,009 which has been filed on November 28, 2001 and is entitled "CDDO-COMPOUNDS AND COMBINATION THERAPIES THEREOF", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

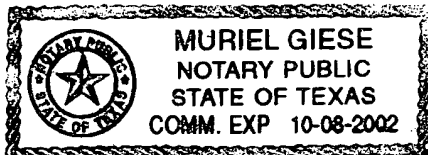
Signature: *Marina Konopleva*
Name: Marina Konopleva

Date: 2/18/02

STATE OF TEXAS)
COUNTY OF HARRIS) ss.

BEFORE ME, the undersigned authority, on this 18 day of FEBRUARY, 2002 personally appeared Marina Konopleva, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that she executed the same of her own free will for the purposes and consideration therein expressed.

[SEAL]



Muriel Giese
Notary or Consular Officer

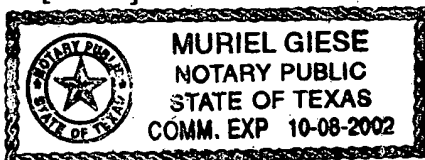
Signature: *Michael Andreeff*
Name: Michael Andreeff

Date: 2/18/2002

STATE OF TEXAS)
COUNTY OF HARRIS) ss.

BEFORE ME, the undersigned authority, on this 18 day of FEBRUARY, 2002 personally appeared Michael Andreeff, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]



Muriel Giese
Notary or Consular Officer

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, does hereby:

SELL, ASSIGN AND TRANSFER to The Trustees of Dartmouth College (the "Assignee"), having a place of business at 11 Rope Ferry Road #6210, Hanover, NH 03755-1404, their entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. **09/998,009** which has been filed on **November 28, 2001** and is entitled "**CDDO-COMPOUNDS AND COMBINATION THERAPIES THEREOF**", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: Michael B. Sporn
Name: Michael B. Sporn

Date: 3/25/02

STATE OF New Hampshire)
COUNTY OF Grafton) ss.

BEFORE ME, the undersigned authority, on this 25 day of March, 2002 personally appeared Michael B. Sporn, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Patti Beaulieu
Notary or Consular Officer

[SEAL]

PATTI BEAULIEU, Commissioner of Deeds
My Commission Expires August 9, 2005

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701